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Group Art Unit: 1653

Examiner: Hope A. Robinson

Docket No.: 290.00330101

Title: STABLE RECOMBINANT YEASTS FOR FERMENTING XYLOSE TO ETHANOL

**Via Federal Express**  
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We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate)

**X** Small entity status is entitled to be asserted in the above-identified application.

**X** An itemized return postcard.

**X** A Petition for Extension of Time for \_\_ month(s) and a check in the amount of \$\_\_ for the required fee.

**X** A Supplemental Information Disclosure Statement (2 pgs); copies of 0 applications; 1449 forms (4 pgs);  
copies of 46 documents cited on the 1449 forms; and a copy of PCT International Search Report (5 pgs).

— A check in the amount of \$\_\_\_\_\_, representing\_\_\_\_\_.

— A certified copy of a \_\_ application, Serial No. \_\_, filed \_\_\_\_\_, the right of priority of which is claimed  
under 35 U.S.C. §119.

— Other: \_\_\_\_.

Amendment                      No Additional fee is required.                      The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$9 =	
Independent Claims				x \$42 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$140 Here →					
Total Additional Claim Fees Required					

**Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.**

By: David L. Provence  
Name: David L. Provence  
Reg. No.: 43,022  
Direct Dial: 612-305-1005  
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Customer Number: 26813



26813

PATENT TRADEMARK OFFICE

January 15, 2003

(SMALL ENTITY TRANSMITTAL UNDER RULE 1.8)

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PATENT

Docket No. 290.0033 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Nancy W.Y. Ho et al.	)	Group Art Unit:	1653
		)		
Serial No.:	09/180,340	)	Examiner:	Hope A. Robinson
Confirmation No.:	6674	)		
		)		
Filed:	20 August 1999	)		
		)		
For:	STABLE RECOMBINANT YEASTS FOR FERMENTING XYLOSE TO ETHANOL			

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office  
Attn: Examiner Hope A. Robinson  
United States Patent and Trademark Office  
1911 S. Clark Street, Crystal Mall #1  
Technology Center 1600, 7<sup>th</sup> Floor, Group Art 1653  
Arlington, VA 22202

In accordance with the continuing duty of candor and good faith that is to be demonstrated before the United States Patent and Trademark Office (USPTO), enclosed are copies of documents which Applicants bring to the Examiner's attention as possibly being of interest in connection with the above-identified patent application. Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested. Pursuant to the provisions of MPEP §609, Applicants further request that a copy of the 1449 form(s), marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Also enclosed is a copy of a PCT International Search Report received in a related application.

The foreign patent document DE 4009676 was submitted with the IDS dated 25 January 1999. This document was crossed-out as not considered. The Examiner is requested to note that EP 0450 430 claims DE 4009676 as a priority document. Thus, EP 0450 430 is in the same patent family as DE 4009676.

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**Supplemental Information Disclosure Statement**

Page 2 of 2

Applicant(s): Nancy W.Y. Ho et al.

Serial No.: 09/180,340

Confirmation No.: 6674

Filed: 20 August 1999

For: STABLE RECOMBINANT YEASTS FOR FERMENTING XYLOSE TO ETHANOL

The non-patent references Jeffries "Emerging Technology for Fermenting D-xylose: Trends in Biotechnology" and Jeffries, "Utilization of xylose by bacteria, yeasts, and fungi," were also submitted with the IDS dated 25 January 1999. However, full citations were not provided. They are being resubmitted so that the full citations are of record. In addition, courtesy copies are provided.

Since this Supplemental Information Disclosure Statement is submitted after the filing of a Request for Continued Examination, it is Applicants' understanding that no additional fees are required. If this understanding is not correct, please charge any additional fees or credit any overpayment to Deposit Account No. 13-4895.

When the Examiner considers the Response mailed 6 January 2003, consideration of these documents is respectfully requested. The Examiner is invited to contact Applicants' Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

Respectfully submitted for

Ho et al.

By

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Date

January 15, 2003